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**Financing of the activities arising from Security Council resolution 687 (1991): United Nations Iraq-Kuwait Observation Mission**

# Report of the Secretary-General

*Summary*

The present report contains the financial performance report of the United Nations Iraq-Kuwait Observation Mission (UNIKOM) for the period from 1 November 1994 to 31 December 1995. The total prorated resources provided for the period amount to $73,000,000 gross ($69,973,300 net), while the related expenditures amount to $67,296,300 gross ($65,072,400 net). This resulted in an unencumbered balance of $5,703,700 gross ($4,900,900 net) which resulted primarily from the lower number of military personnel deployed; cancellation of some of the construction projects; postponement of some infrastructure repairs projects; acquisition of fewer number of vehicles; and lower flying hours of aircraft services.

The action to be taken by the General Assembly as contained in paragraph 8 of the report is a decision to credit Member States their respective share in the unencumbered balance.

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# I. Introduction

1. On 9 October 1996, the Security Council reviewed the question of the termination or continuation of United Nations Iraq-Kuwait Observation Mission (UNIKOM) as well as its modalities of operation in accordance with its resolution 689 (1991) of 9 April 1991. In a letter dated 9 October 1996, the President of the Security Council informed the Secretary-General that the members of the Council concurred with the recommendation of the Secretary-General that UNIKOM be maintained and that the Council had decided to review the question once again by 4 April 1997 (S/1996/840).

2. For the maintenance of UNIKOM for the period from 1 November 1994 to 30 June 1995, the General Assembly, by its resolution 49/245 of 12 July 1995, approved the amount of $43 million gross ($41,279,200 net), two thirds of which, equivalent to $27,519,500, would be funded from voluntary contributions from the Government of Kuwait. The remaining, equivalent to $15,480,500 gross ($13,759,700 net), was appropriated and assessed by the General Assembly, taking into account the amount of $9,133,600 gross ($8,777,900 net) authorized and apportioned under the terms of Assembly resolution 48/242 of 5 April 1994 and decision 49/477 of 31 March 1995. For the period from 1 July 1995 to 30 June 1996, the General Assembly also approved $60 million gross ($57,386,000 net) in its resolution 49/245 of which the two-thirds share, equivalent to $38,257,300, would be funded from voluntary contributions from the Government of Kuwait. By the same resolution, the Assembly authorized the Secretary-General to enter into commitments in the amount of $1,811,900 gross ($1,594,100 net) per month, equivalent to one third of the cost of UNIKOM, to be assessed on Member States, subject to the review by the Security Council with regard to the question of termination or continuation of UNIKOM.

# II. Financial performance for the period from 1 November 1994 to 31 December 1995

3. Annex I, column 1, to the present report sets out by budget-line item the pro-rated cost estimate for the 14-month period from 1 November 1994 to 31 December 1995 as contained in annex III to document A/49/863 of 20 March 1995. The apportionment of the pro-rated resources approved by the General Assembly in its resolution 49/245 is shown in column 2, the expenditures are shown in column 3, and the savings or overruns are set out in column 4. Supplementary information in respect of the expenditures is contained in annex II.

4. The planned and actual deployment of civilian and military personnel for the reporting period is contained in annex III. Annex IV provides the authorized staffing, incumbency and vacancy rates for military and civilian personnel for the reporting period.

5. As shown in annex I, column 4, the total pro-rated resources made available to UNIKOM for the period from 1 November 1994 to 31 December 1995 amount to $73,000,000 gross ($69,973,300 net). Expenditures for the period (column 3) are $67,296,300 gross ($65,072,400 net).

6. With the exception of civilian personnel costs, expenditures incurred during the reporting period under all main budget headings were less than their estimated costs and resulted in savings under: military personnel costs ($866,000), premises and accommodation ($941,400), infrastructure repairs ($164,900), transport operations ($495,200), air operations ($1,537,800), communications ($301,500), other equipment ($214,200), supplies and services ($524,200), training programmes ($6,300), air and surface freight ($23,800) and staff assessment ($802,800).

7. The above savings were realized mainly from: lower number of military observers and military contingent personnel actually deployed; cancellation of the planned construction of warehouses and lower requirements for miscellaneous construction supplies for routine maintenance and repairs; postponement of plans to upgrade the airstrips and roads in the demilitarized zone; acquisition of fewer number of vehicles; reduced flying hours; lower and limited requirements for spare parts and supplies, workshop and

test equipment under communications; limited procurement of other equipment; lower costs of contractual services and limited procurement of supplies; and savings under staff assessment owing to an average 14 per cent vacancy rate of civilian staff.

8. Additional requirements of $174,400 under civilian personnel costs were a result of a lower than anticipated vacancy rate coupled with the carry over of personnel costs from prior years.

## III. Action to be taken by the General Assembly at its fifty-first session

9. The action to be taken by the General Assembly at its fifty-first session in connection with the financing of UNIKOM is a decision to set off against the future assessment on Member States their respective share in the unencumbered balance of $5,703,700 gross ($4,900,900 net) for the period from 1 November 1994 to 31 December 1995.

# Annex I
# Financial performance report for the period from 1 November 1994 to 31 December 1995: summary statement

*(Thousands of United States dollars)*

| | (1) Original cost estimate (pro-rated) | (2) Original apportionment | (3) Expenditures | (4) Savings/ (Overrun) (2)-(3) |
|---|---|---|---|---|
| **1. Military personnel costs** | | | | |
| *(a) Military observers* | | | | |
| Mission subsistence allowance | 12 602.0 | 12 598.0 | 12 006.4 | 591.6 |
| Travel costs | 980.0 | 979.5 | 796.7 | 182.8 |
| Clothing and equipment allowance | 59.6 | 59.9 | 59.8 | 0.1 |
| **Subtotal** | **13 641.6** | **13 637.4** | **12 862.9** | **774.5** |
| *(b) Military contingents* | | | | |
| Standard troop cost reimbursement | 13 133.4 | 12 910.9 | 12 881.2 | 29.7 |
| Welfare | 174.8 | 169.7 | 227.8 | (58.1) |
| Rations | 3 433.5 | 3 403.8 | 3 307.2 | 96.6 |
| Daily allowance | 496.6 | 487.1 | 485.9 | 1.2 |
| Mission subsistence allowance | - | - | - | - |
| Emplacement, rotation and repatriation of troops | 2 100.0 | 2 061.7 | 2 041.4 | 20.3 |
| Clothing and equipment allowance | 896.3 | 889.2 | 887.4 | 1.8 |
| **Subtotal** | **20 234.6** | **19 922.4** | **19 830.9** | **91.5** |
| *(c) Other costs pertaining to military personnel* | | | | |
| Contingent-owned equipment | 1 939.7 | 1 938.9 | 1 938.9 | - |
| Death and disability compensation | 373.3 | 370.6 | 370.6 | - |
| **Subtotal** | **2 313.0** | **2 309.5** | **2 309.5** | **-** |
| **Total, line 1** | **36 189.2** | **35 869.3** | **35 003.3** | **866.0** |
| **2. Civilian personnel costs** | | | | |
| *(a) Civilian police* | - | - | - | - |
| *(b) International and local staff* | | | | |
| International staff salaries | 4 511.2 | 4 510.0 | 5 222.1 | (712.1) |
| Local staff salaries | 4 438.4 | 4 437.2 | 4 163.5 | 273.7 |
| Consultants | - | - | - | - |
| Overtime | - | - | - | - |
| Common staff costs | 4 804.3 | 4 803.1 | 4 600.4 | 202.7 |
| Mission subsistence allowance | 3 612.7 | 3 611.7 | 3 528.0 | 83.7 |
| Travel to and from the mission area | - | - | - | - |
| Other travel costs | 42.5 | 42.4 | 64.8 | (22.4) |
| **Subtotal** | **17 409.1** | **17 404.4** | **17 578.8** | **(174.4)** |
| *(c) International contractual personnel* | - | - | - | - |
| *(d) United Nations Volunteers* | - | - | - | - |
| *(e) Government-provided personnel* | - | - | - | - |
| *(f) Civilian electoral observers* | - | - | - | - |
| **Total, line 2** | **17 409.1** | **17 404.4** | **17 578.8** | **(174.4)** |
| **3. Premises/accommodation** | | | | |
| Rental of premises | - | - | - | - |
| Alteration and renovation of premises | 230.0 | 203.2 | 507.4 | (304.2) |
| Maintenance supplies | 255.3 | 209.2 | 112.0 | 97.2 |

| | (1) Original cost estimate (pro-rated) | (2) Original apportionment | (3) Expenditures | (4) Savings/ (Overrun) (2)-(3) |
|---|---|---|---|---|
| Maintenance services | 738.0 | 619.7 | 279.3 | 340.4 |
| Utilities | 280.0 | 233.5 | 199.6 | 33.9 |
| Construction/prrefabricated buildings | 1 509.0 | 1 276.3 | 502.2 | 774.1 |
| **Total, line 3** | **3 012.3** | **2 541.9** | **1 600.5** | **941.4** |
| **4. Infrastructure repairs** | | | | |
| Upgrading of airstrips | 53.6 | 46.0 | - | 46.0 |
| Upgrading of roads | 150.0 | 131.1 | 12.2 | 118.9 |
| Repair of bridges | - | - | - | - |
| **Total, line 4** | **203.6** | **177.1** | **12.2** | **164.9** |
| **5. Transport operations** | | | | |
| Purchase of vehicles | 620.6 | 521.9 | 113.4 | 408.5 |
| Rental of vehicles | - | - | - | - |
| Workshop equipment | 56.0 | 46.5 | 67.5 | (21.0) |
| Spare parts, repairs and maintenance | 1 346.7 | 1 119.1 | 1 108.2 | 10.9 |
| Petrol, oil and lubricants | 1 020.2 | 838.1 | 691.0 | 147.1 |
| Vehicle insurance | 251.3 | 212.6 | 262.9 | (50.3) |
| **Total, line 5** | **3 294.8** | **2 738.2** | **2 243.0** | **495.2** |
| **6. Air operations** | | | | |
| (a) *Helicopter operations* | | | | |
| Hire/charter costs | 4 214.0 | 3 645.6 | 2 212.6 | 1 433.0 |
| Aviation fuel and lubricants | 272.4 | 223.4 | 165.6 | 57.8 |
| Positioning/depositioning costs | 40.0 | 30.6 | 49.1 | (18.5) |
| Resupply flights | - | - | - | - |
| Painting/preparations | 10.0 | 7.7 | - | 7.7 |
| Liability and war-risk insurance | 80.0 | 64.8 | - | 64.8 |
| Spare parts repairs and maintenance | - | - | - | - |
| **Subtotal** | **4 616.4** | **3 972.1** | **2 427.3** | **1 544.8** |
| (b) *Fixed-wing aircraft* | | | | |
| Hire/charter costs | 787.5 | 659.3 | 693.6 | (34.3) |
| Aviation fuel and lubricants | 505.3 | 422.1 | 349.7 | 72.4 |
| Positioning/depositioning costs | 10.0 | 7.7 | - | 7.7 |
| Resupply flights | - | - | - | - |
| Painting/preparations | 5.0 | 3.8 | - | 3.8 |
| Liability and war-risk insurance | 65.0 | 56.7 | 76.5 | (19.8) |
| **Subtotal** | **1 372.8** | **1 149.6** | **1 119.8** | **29.8** |
| (c) Aircrew subsistence allowance | 65.2 | 57.5 | 37.8 | 19.7 |
| (d) Other air operation costs | | | | |
| Air traffic control services and equipment | - | - | - | - |
| Landing fees and ground handling | 48.7 | 40.3 | 96.8 | (56.5) |
| Fuel storage containers | - | - | - | |
| **Subtotal** | **48.7** | **40.3** | **96.8** | **(56.5)** |
| **Total, line 6** | **6 103.1** | **5 219.5** | **3 681.7** | **1 537.8** |
| **7. Naval operations** | - | - | - | - |
| **8. Communications** | | | | |
| (a) *Complementary communications* | | | | |
| Communications equipment | 514.1 | 441.0 | 436.8 | 4.2 |
| Spare parts and supplies | 338.8 | 297.6 | 182.4 | 115.2 |
| Workshop and test equipment | 112.0 | 98.9 | 1.6 | 97.3 |
| Commercial communications | 369.9 | 307.8 | 223.0 | 84.8 |
| **Subtotal** | **1 334.8** | **1 145.3** | **843.8** | **301.5** |

| | (1) Original cost estimate (pro-rated) | (2) Original apportionment | (3) Expenditures | (4) Savings/ (Overrun) (2)-(3) |
|---|---|---|---|---|
| *(b) Main trunking contract* | - | - | - | - |
| **Total, line 8** | **1 334.8** | **1 145.3** | **843.8** | **301.5** |
| **9. Other equipment** | | | | |
| Office furniture | 67.5 | 54.9 | 32.0 | 22.9 |
| Office equipment | 42.5 | 34.5 | 45.8 | (11.3) |
| Data-processing equipment | 256.2 | 247.6 | 247.8 | (0.2) |
| Generators | - | - | - | - |
| Observation equipment | 73.8 | 55.2 | 61.9 | (6.7) |
| Petrol tank plus metering equipment | 70.0 | 61.8 | 5.0 | 56.8 |
| Water and septic tanks | - | - | - | - |
| Medical and dental equipment | 20.0 | 17.0 | 8.7 | 8.3 |
| Accomodation equipment | 132.1 | 104.1 | 61.3 | 42.8 |
| Miscellaneous equipment | 73.3 | 67.6 | 56.3 | 11.3 |
| Field defence equipment | - | - | - | - |
| Water-purification equipment | - | - | - | - |
| Refrigeration equipment | - | - | - | - |
| Spare parts, repairs and maintenance | 348.6 | 291.9 | 201.6 | 90.3 |
| **Total, line 9** | **1 084.0** | **934.6** | **720.4** | **214.2** |
| **10. Suplies and services** | | | | |
| *(a) Miscellaneous services* | | | | |
| Audit services | 64.3 | 56.0 | 56.0 | - |
| Contractual services | 1 082.3 | 909.2 | 588.7 | 320.5 |
| Data-processing services | - | - | - | - |
| Security services | - | - | - | - |
| Medical treatment and services | 21.9 | 356.8 | 423.3 | (66.5) |
| Claims and adjustments | 96.0 | 81.6 | 36.6 | 45.0 |
| Official hospitality | 19.0 | 15.8 | 7.3 | 8.5 |
| Miscellaneous other services | 96.0 | 81.6 | 92.3 | (10.7) |
| **Subtotal** | **1 379.5** | **1 501.0** | **1 204.2** | **296.8** |
| *(b) Miscellaneous supplies* | | | | |
| Stationery and office supplies | 79.1 | 57.6 | 78.9 | (21.3) |
| Medical supplies | 46.6 | 38.5 | 18.7 | 19.8 |
| Sanitation and cleaning material | 74.5 | 61.8 | 48.4 | 13.4 |
| Subscriptions | 9.0 | 7.4 | 30.3 | (22.9) |
| Electrical supplies | 213.8 | 181.3 | 73.6 | 107.7 |
| Ballistic protective blankets for vehicles | - | - | - | - |
| Uniform items, flags and decals | 81.7 | 70.2 | 83.7 | (13.5) |
| Field defence stores | 116.7 | 97.5 | - | 97.5 |
| Operational maps | 6.6 | 5.4 | 1.8 | 3.6 |
| Quartermaster and general stores | 198.1 | 159.0 | 115.9 | 43.1 |
| Miscellaneous supplies | - | - | - | - |
| **Subtotal** | **826.1** | **678.7** | **451.3** | **227.4** |
| **Total, line 10** | **2 205.6** | **2 179.7** | **1 655.5** | **524.2** |
| **11. Election-related supplies and services** | - | - | - | - |
| **12. Public information programmes** | - | - | - | - |
| **13. Training programmes** | **44.0** | **37.4** | **31.1** | **6.3** |
| **14. Mine-clearing programmes** | **44.3** | **32.4** | **32.4** | - |
| **15. Assistance for disarmament and demobilization** | - | - | - | - |

| | (1) Original cost estimate (pro-rated) | (2) Original apportionment | (3) Expenditures | (4) Savings/ (Overrun) (2)-(3) |
|---|---|---|---|---|
| **16. Air and surface freight** | | | | |
| Transport of contingent-owned equipment | - | - | - | - |
| Military airlifts | - | - | - | - |
| Commercial freight and cartage | 130.0 | 109.6 | 85.8 | 23.8 |
| **Total, line 16** | **130.0** | **109.6** | **85.8** | **23.8** |
| **17. Integrated Management Information System** | **112.5** | **104.4** | **104.4** | **-** |
| **18. Support account for peacekeeping operations** | **1 479.9** | **1 479.5** | **1 479.5** | **-** |
| **19. Staff assessment** | **3 027.8** | **3 026.7** | **2 223.9** | **802.8** |
| **Gross total, lines 1-19** | **75 675.0** | **73 000.0** | **67 296.3** | **5 703.7** |
| **20. Income from staff assessment** | **(3 027.8)** | **(3 026.7)** | **(2 223.9)** | **(802.8)** |
| **Net total, lines 1-20** | **72 647.2** | **69 973.3** | **65 072.4** | **4 900.9** |
| **21. Voluntary contributions in kind** | **-** | **-** | **-** | **-** |
| **Total resources 1-21** | **72 647.2** | **69 973.3** | **65 072.4** | **4 900.9** |

# Annex II
# Supplementary information on the financial performance report for the period from 1 November 1994 to 31 December 1995

*(United States dollars)*

*Savings/ (overrun)*

**1. Military personnel costs**

*(a) Military observers* . . . . . 774 500

1. The savings of $591,600 under mission subsistence allowance were realized owing to the fact that provision was made for 255 military observers while the actual number on board averaged 244 military observers during the 14-month period under review.

2. Savings in the amount of $182,800 were realized from travel costs owing to the lower number of military personnel who were rotated. While provision was made for the round-trip travel of 245 military observers, 203 military observers departed from the mission area and 205 military observers arrived in the mission area during the reporting period. Moreover, the average one-way travel cost, estimated at $2,000 per person, included the shipment of unaccompanied personal effects. This entitlement was not fully utilized by most of the military observers who were rotated.

*(b) Military contingents* . . . . . 91 500

3. Savings were realized under standard troop costs reimbursement ($29,700), rations ($96,600), daily allowance ($1,200), emplacement, rotation and repatriation of troops ($20,300), clothing and equipment allowance ($1,800), which were offset by additional requirements of $58,100 under welfare.

4. Savings realized under standard troop costs reimbursement ($29,700) and clothing and equipment allowance ($1,800) were due to the fact that requirements were estimated at an average of 910 troops while the actual number on board averaged 876 troops during the reporting period.

5. Additional requirements in the amount of $58,100 were incurred under welfare for postage for military contingent personnel which was not provided for in the cost estimates.

6. Savings of $96,600 were realized under rations owing to: (a) the lower number of contingent personnel deployed; and (b) the lower number of international staff and military observers on board for which bottled water was provided.

7. Based on a round-trip rate of $1,400 per person, the cost estimates provided for one round-trip rotation travel of 775 infantry personnel, two round-trip rotation travels for 135 support personnel for the period from 1 November 1994 to 30 June 1995, and one round-trip travel for 910 personnel for the period from 1 July 1995 to 30 June 1996. During the 14-month period, 1,201 contingent personnel departed the mission area and 1,170 arrived in the mission area. The actual cost of rotations were lower than estimated, resulting in savings of $20,300 under rotation of troops.

*(c) Death and disability*

8. The amount allocated under this heading has been fully obligated to cover potential claims from death, disability or injury of military personnel. There was one death of military contingent personnel during the reporting period.

2. **Civilian personnel costs** ..... (174 400)

9. The cost estimate was based on a total strength of 238 civilian staff (13 Professional, 56 Field Service, 23 General Service and 146 local staff) for the period from 1 November 1994 to 31 March 1995 and a total strength of 259 civilian staff (13 Professional, 49 Field Service, 21 General Service and 176 local staff) for the period from 1 April to 31 December 1995. The vacancy rate applied was 15 per cent for international staff and 5 per cent for local staff. Actual staff on board throughout the period averaged 222. As shown in annex IV, the average vacancy rate for civilian staff was approximately 14 per cent of the authorized level (6 per cent for international staff and 18 per cent for local staff).

10. Additional requirements of $712,100 under international staff salaries resulted from the lower actual vacancy rate than estimated and carry over of personnel costs from prior periods.

11. Savings totalling $560,100 under local staff salaries ($273,700), common staff costs ($202,700) were attributable to the lower number of staff on board.

12. Savings under mission subsistence allowance were a result of the non-payment of the allowance during periods of absence on home leave and family visit travel.

13. While provision was made for the official travel of the Force Commander and other officials between New York and the mission area for five trips, eight official trips were undertaken by UNIKOM personnel to the Middle East region and to New York and unforeseen trips by electronic data processing staff from New York to the mission area for the installation and implementation of the civilian personnel database and the Reality system, resulting in additional requirements of $22,400 under this heading.

3. **Premises/accommodation** ..... 941 400

14. The original plan to construct two 5,000 square metre warehouses was cancelled owing to cost saving measures (see para. 18 below). Instead, two existing warehouse storage facilities in Camp Khor were renovated for storing electrical, air conditioning, communication and general supplies stores, resulting in additional requirements of $304,200 under alterations and renovations to premises.

15. Savings of $97,200 under maintenance supplies were realized because procurement of miscellaneous construction supplies for routine maintenance and repairs were held to a minimum level during the reporting period.

16. Savings under maintenance services totalling $340,400 were due to the following reasons: (a) UNIKOM's actual share of the cost of maintenance and other common services for the liaison offices in Baghdad was lower than originally estimated; (b) costs for the construction of shaded areas for vehicles and water tanks were charged to the construction account; and (c) plans for improving the grounds at the sectors platoon camps and other locations were postponed due to financial constraints.

17. While utilities were estimated at a monthly cost of $16,680, the actual monthly average cost was $14,260, resulting in savings of $33,900.

18. Savings of $774,100 were realized under construction/prefabricated buildings owing to the cancellation of plans for: (a) the construction and emplacement of 77 steel bunkers in the demilitarized zone as a result of the review of its needs in light of Mission's mandate and concept of operation ($823,000); and (b) the construction of two warehouse storage facilities ($453,300). Existing facilities are being renovated to accommodate the warehouses at a lower cost as mentioned in paragraph 14 above. However, savings were partially offset by expenditures incurred for the construction of the reservoir and water treatment plants at Umm Qasr and Camp Khor ($441,500), observation towers ($20,000) and scrap, tire and vehicle enclosures ($40,700).

**4. Infrastructure repairs** ..... 164 900

19. Savings under infrastructure repairs were realized owing to the postponement of plans to upgrade the airstrips and roads in the demilitarized zone as a result of curtailment and postponement of projects due to the financial situation of the Organization.

**5. Transport operations** ..... 495 200

20. The cost estimate was made based on the plan to replace 11 vehicles for the period from 1 November 1994 to 30 June 1995 and 20 vehicles for the period from 1 July 1995 to 30 June 1996. A total of three vehicles were acquired, two purchased and one transferred from the United Nations Operation in Somalia (UNOSOM), during the reporting period, resulting in savings of $408,500, as shown in table 1 below.

Table 1 **Vehicles acquired during the period from 1 November 1994 to 31 December 1995**

| *Type* | *Quantity* | *Purchased* | *Transferred from other missions* | *Date of arrival* |
|---|---|---|---|---|
| Ambulance | 1 | New | | 9 January 1995 |
| Bus | 1 | | UNOSOM | 17 January 1995 |
| Bus, coaster | 1 | New | | 17 October 1995 |

21. Additional requirements of $21,000 under workshop equipment was a result of the acquisition of items outside the mission area at higher prices than anticipated since these were not locally available.

22. Savings of $10,900 under spare parts, repairs and maintenance of vehicles resulted from delayed placement of purchase orders for some spare parts with vendors.

23. Savings of $147,100 under petrol, oil and lubricants were attributed primarily to lower fuel consumption during the reporting period, the reduction of the fleet by 30 Hummvs in July 1995 and non-occurrence of the anticipated price increase of petrol, oil and lubricants for the period from 1 July to 31 December 1995.

24. Additional requirements of $50,300 under vehicle insurance resulted from the payment of the premium for the one-year period from 1 August 1995 to 31 July 1996.

**6. Air operations** ..... 1 537 800

*(a) Helicopter operations*

25. Savings of $1,544,800 for helicopter operations resulted from reduced flying hours coupled with reduced rates in connection with the change in contractors on four occasions during the period. The original cost estimates were based on the requirement for two Bell 212 utility helicopters at a cost of: (a) $400,000 for 180 flying hours per month for the period from 1 November 1994 to 31 March 1995, and (b) at $1,500 per hour for 150 hours and $700 for 30 hours per month, or $246,000, for the period from 1 April to 31 December 1995.

26. During the reporting period, helicopter support was provided by four different contractors, resulting in variances in the monthly rates. For the period from 1 to 13 July 1995, no helicopter support was available in the mission due to the abrupt termination of the services of the contractor. A local contractor was hired to provide interim helicopter support until the emplacement of the helicopters provided by a Government under the Letter of Assist arrangement. Therefore, savings of $1,433,000 under hire/charter costs resulted

from fewer flying hours and lower monthly costs. The planned and actual requirements for helicopter services are shown in table 2 below.

Table 2 **Actual requirements for helicopter operations for the period 1 November 1994 to 31 December 1995**

| Contractor | Type | Planned number of units | Actual number of units | Planned hours to be flown per month | Average hours flown per month | Cost per month: Estimated | Cost per month: Average |
|---|---|---|---|---|---|---|---|
| | | | | | | (In United States dollars) | |
| A | *1-30 November 1994* | | | | | | |
| | Bell-206 | [a] | 2 | [a] | 143.4 | 400 000 | 210 300 |
| | Bell-212 | 2 | 1 | 180 | 66.8 | | |
| B | *1 December 1994 to 31 March 1995* | | | | | | |
| | Bell-212 | 2 | 2 | 180 | 178.0 | 400 000 | 158 100 |
| | *1 April to 30 June 1995* | | | | | | |
| | Bell-212 | 2 | 2 | 180 | 176.7 | 246 400 | 158 100 |
| | *1-13 July 1995* | No helicopter was available during the period. | | | | | |
| C | *14 July to 11 October 1995* | | | | | | |
| | Bell-212 | 2 | 1 | 180 | 116.5 | 246 000 | 133 900 |
| D | *12 October to 31 December 1995* | | | | | | |
| | Bell-212 | 2 | 2 | 180 | 150.5 | 246 000 | 159 600 |

[a] No provision was made for this type of helicopter.

27. Additional requirements totalling $18,500 were incurred under positioning/depositioning costs owing to changes in contractual arrangements as mentioned in paragraph 26 above.

28. Savings of $57,800 under aviation fuel and lubricants were attributed to fewer flying hours, as indicated in table 2 above.

29. Savings were also realized under painting/preparation ($7,700) and liability and war-risk insurance ($64,800) since no expenditures were incurred.

*(b) Fixed-wing aircraft*

30. Two fixed-wing aircraft were provided free of cost to UNIKOM by the Government of Switzerland until the end of 1994. Provision was made for one commercially rented aircraft (AN-24) for the reporting period.

31. Hire/charter costs of the fixed-wing aircraft were estimated at $787,500 based on: 100 flying hours per month at the rates of: (a) $58,500 per month for the period 1 November 1994 to 31 March 1995; and (b) $55,000 per month for the period 1 April to 31 December 1995. Table 3 below shows the actual number of flying hours in comparison with the estimated number of flying hours.

32. Resources for the period were apportioned at the rate of $47,100 per month or $659,300. Actual expenditures amounted to some $49,500 per month, or $693,600 resulting in additional requirements of $34,300 under this heading.

**Table 3** **Average flying hours per month**

| | *Estimated* | *Actual* |
|---|---|---|
| 1 November 1994-31 March 1995 | 100 | 88.4 |
| 1 April-30 June 1995 | 100 | 77.1 |
| 1 July-31 October 1995 | 100 | 70.8 |
| 1 November-31 December 1995 | 100 | 68.2 |

33. Savings of $72,400 under aviation fuel and lubricants were attributed to lower number of flying hours compared with the original estimate as shown in table 3 above, resulting in lower consumption. Moreover, while fuel cost was estimated at the rate of $1.10 per gallon for the period from 1 July to 31 December 1995, actual cost was $0.82 per gallon.

34. Savings are also reflected under positioning/depositioning ($7,700) and painting/preparation ($3,800), since no expenditures were incurred under these items.

35. Resources for liability and war-risk insurance were apportioned based on the rate of $4,100 per month. Average monthly expenditure amounted to $5,500, resulting in overexpenditure of $19,800 under this heading.

*(c) Aircrew*

36. Provision was made for the seven-person aircrew to cover accommodation outside Kuwait at a rate of $70 per person per night for approximately 117 nights. Actual expenditures incurred were in respect of a five-person air crew for 108 nights, resulting in savings of $19,700 under this heading.

*(d) Landing fees*

37. Additional requirements of $56,500 for landing fees and ground handling resulted from an unforeseen increase in charges. Provision was based on $3,300 per aircraft per month while the actual expenditure averaged $7,000 monthly.

7. Naval operations ..... —

38. No provision was made under this heading.

8. Communications ..... 301 500

39. Savings were realized under communications equipment ($4,200), spare parts and supplies ($115,200), workshop and test equipment ($97,300) and commercial communications ($84,800).

40. Cost of equipment acquired during the reporting period was slightly lower than anticipated which resulted in savings of $4,200 for communications equipment.

41. Savings under spare parts and supplies and workshop and test equipment resulted owing to: (a) acquisition of these items being limited to immediate operational requirements; and (b) the replacement of obsolete communications equipment by the new equipment resulting in non-procurement of spare parts and supplies, as well as workshop equipment required for the old equipment.

42. Savings under commercial communications represent the amount earmarked for the rental of the satellite transponder. UNIKOM is currently in the process of examining a proposal from the Kuwait Ministry of Communications for this service, therefore there has been a delay in procurement.

**9. Other equipment** ..... 214 200

43. In response to the request that streamlining measures be observed, during the reporting period, procurement of other equipment was limited to immediate operational requirements which brought about savings under office furniture ($22,900), petrol tank plus metering equipment ($56,800), medical and dental equipment ($8,300), accommodation equipment ($42,800), miscellaneous equipment ($11,300) and spare parts, repairs and maintenance ($90,300).

44. Additional requirements of $11,300 under office equipment due to the higher than estimated cost of copiers purchased.

45. Additional expenditures of $200 under data processing equipment were incurred owing to the acquisition of a bar coding system for the catering and laundry services for the military support troops, for which budgetary provision had not been made.

46. The actual cost of observation equipment purchased were higher than estimated, resulting in additional requirements of $6,700 under this item.

**10. Supplies and services** ..... 524 200

*(a) Miscellaneous services* ..... 296 800

47. Resources for contractual services were apportioned at the rate of $65,000 per month, while actual monthly costs amounted to $42,000, resulting in savings of $320,500.

48. The medical contingent departed the mission area on 28 February 1995. Pending the emplacement of the new medical contingent, a private medical contractor was hired to provide medical support to the Mission for which no budgetary provision had been made, resulting in over-expenditure of $66,500 for medical treatment and services.

49. Savings of $45,000 for miscellaneous claims and services were realized since the actual number of claims submitted were lower than anticipated. Additional savings of $8,500 for hospitality were realized due to the limited receptions and fewer than anticipated visits by members of delegations, government officials and dignitaries to the mission area during the period.

50. Additional requirements of $10,700 for miscellaneous other services resulted from the cost of newspaper advertisements in connection with invitations to bid for catering and support services, supply of bottled water, sale of scrap and hiring of personnel, for which no budgetary provision had been made.

*(b) Miscellaneous supplies* ..... 227 400

51. Additional requirements of $21,300 for stationery and office supplies were incurred owing to the higher consumption of reproduction materials, data processing supplies and local printing for both civilian and military components.

52. Savings of $19,800 were realized under medical supplies, since procurement of these items was lower than anticipated.

53. Provision for sanitation and cleaning materials was made at $4,500 per month for all office premises and military camps. The actual requirements averaged $3,500 per month, resulting in savings of $13,400.

54. The cost of subscriptions was higher than estimated, resulting in additional requirements of $22,900.

55. The repair and maintenance of electrical installations at UNIKOM headquarters, sector and liaison offices and helicopter landing pads were limited to immediate operational requirements and brought to a minimum level during the period, resulting in savings of $107,700 under electrical supplies.

56. The cost of uniform items, flags and decals were higher than estimated, resulting in additional requirements of $13,500.

57. Resources for field defence stores were not utilized owing to the curtailment of expenditures during the period, resulting in savings of $97,500.

58. Actual requirements for operational maps were lower than estimated, resulting in savings of $3,600.

59. Savings of $43,100 under quartermaster and general stores were realized owing to procurement of items being limited to immediate operational requirements and brought to a minimum level during the period.

**11. Election-related supplies and services** ..... —

60. No provision was made under this heading.

**12. Public information programmes** ..... —

61. No provision was made under this heading.

**13. Training programmes** ..... 6 300

62. During the period, local instructors were hired to conduct computer training resulting in savings of $6,300.

**14. Mine-clearing programmes** ..... —

63. No change.

**15. Assistance for disarmament and demobilization** ..... —

64. No provision was made under this heading.

**16. Air and surface freight** ..... 23 800

65. Limited procurement of equipment and other supplies resulted in related savings under this heading.

**17. Integrated Management Information System** ..... —

66. No change.

**18. Support account for peacekeeping operations** ..... —

67. No change.

**19. Staff assessment** ..... 802 800

68. The average vacancy rate for civilian staff was 14 per cent resulting in savings under this heading.

**20. Income from staff assessment** ..... (802 800)

69. This amount is derived from item 19 above.

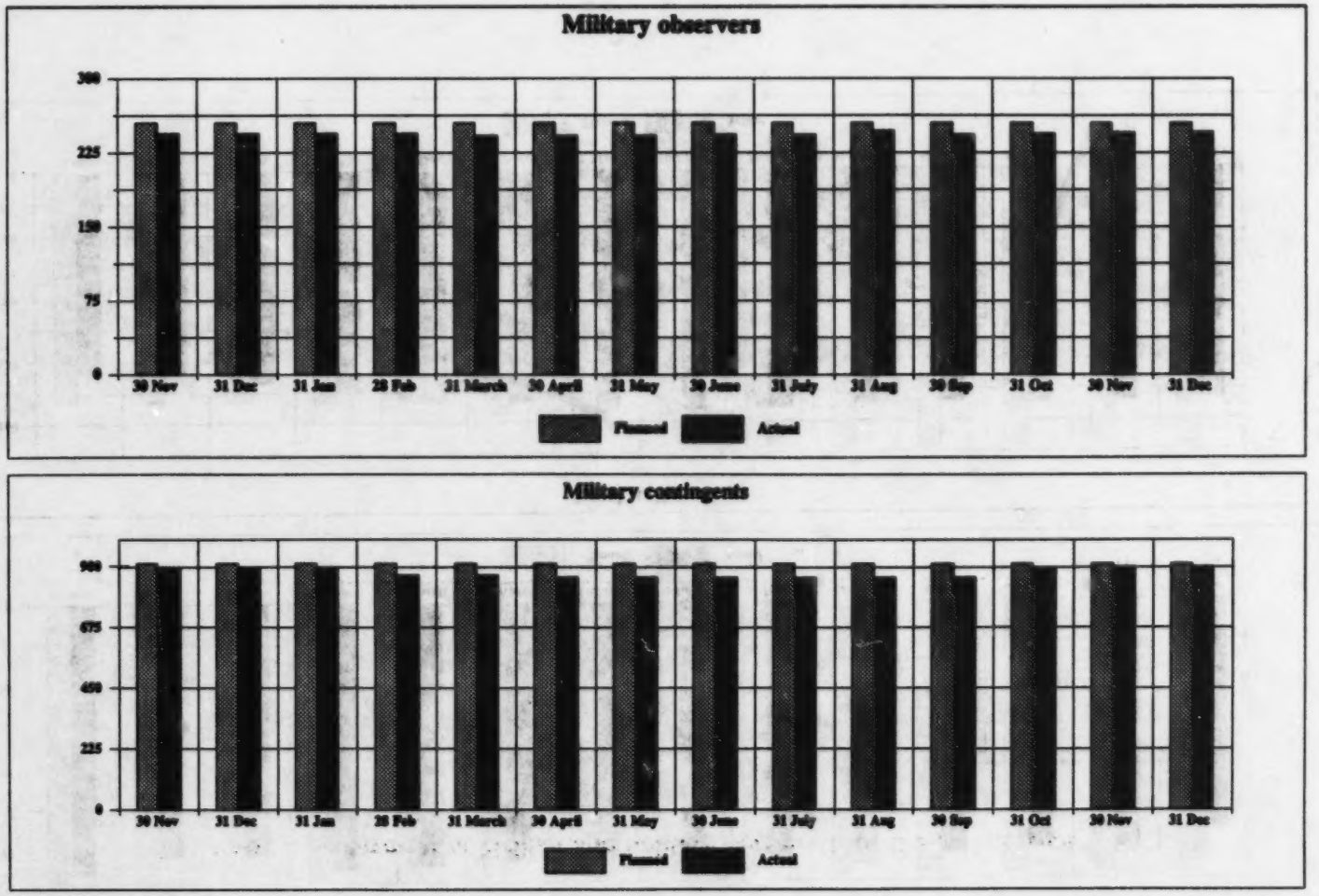
**21. Voluntary contributions in kind** ..... —

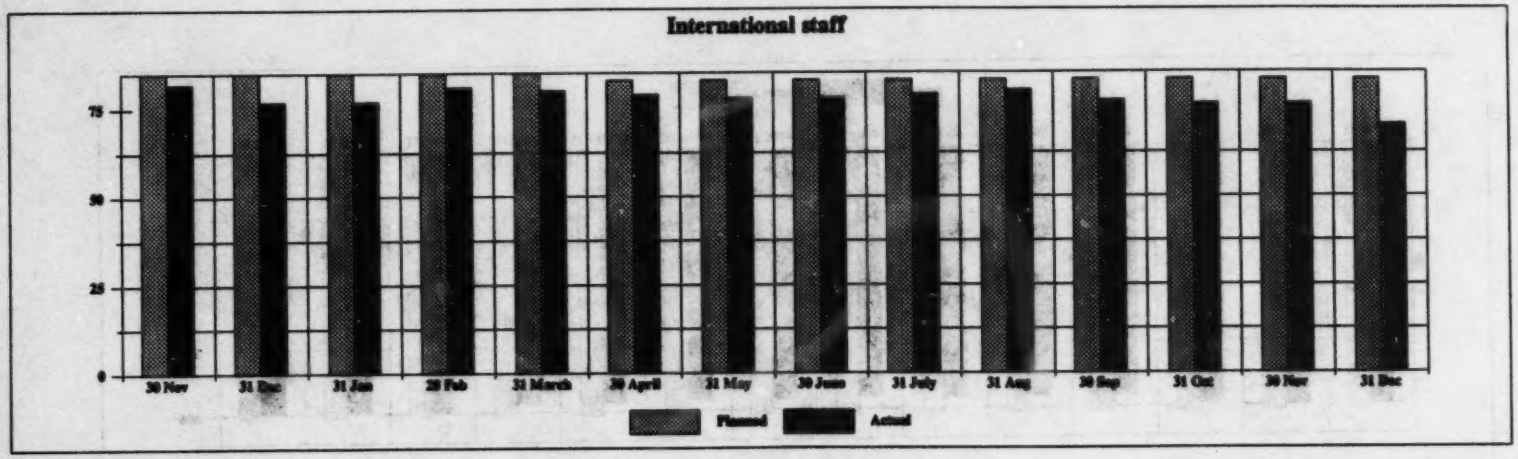
# Annex III

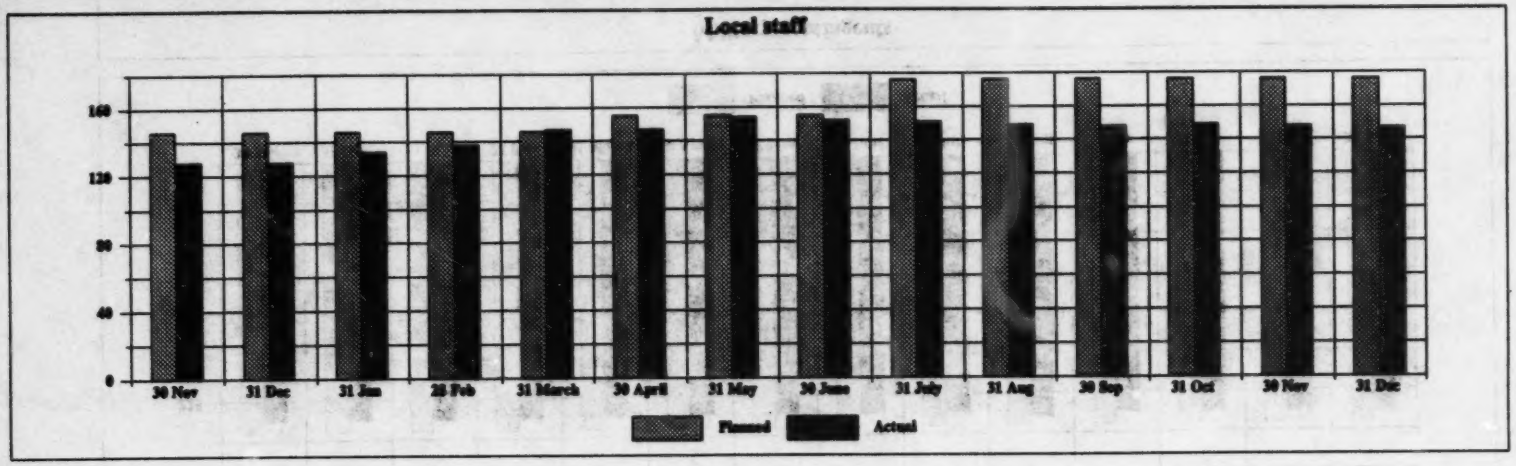
## A. Planned and actual deployment of civilian and military personnel for the period from 1 November 1994 to 31 December 1995

| | Deployment as at | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | 30 Nov | 31 Dec | 31 Jan | 28 Feb | 31 March | 30 April | 31 May | 30 June | 31 July | 31 Aug | 30 Sep | 31 Oct | 30 Nov | 31 Dec |
| | 1994 | | 1995 | | | | | | | | | | | |
| **Military observers** | | | | | | | | | | | | | | |
| Planned | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 | 255 |
| Actual | 244 | 244 | 244 | 244 | 242 | 242 | 242 | 243 | 243 | 247 | 243 | 244 | 245 | 246 |
| Difference | 11 | 11 | 11 | 11 | 13 | 13 | 13 | 12 | 12 | 8 | 12 | 11 | 10 | 9 |
| **Military contingents** | | | | | | | | | | | | | | |
| Planned | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 | 910 |
| Actual | 895 | 897 | 897 | 868 | 868 | 859 | 860 | 859 | 859 | 859 | 859 | 888 | 899 | 899 |
| Difference | 15 | 13 | 13 | 42 | 42 | 51 | 50 | 51 | 51 | 51 | 51 | 22 | 11 | 11 |
| **International staff** | | | | | | | | | | | | | | |
| Planned | 92 | 92 | 92 | 92 | 92 | 83 | 83 | 83 | 83 | 83 | 83 | 83 | 83 | 83 |
| Actual | 82 | 77 | 77 | 81 | 80 | 79 | 78 | 78 | 79 | 80 | 77 | 76 | 76 | 70 |
| Difference | 10 | 15 | 15 | 11 | 12 | 4 | 5 | 5 | 4 | 3 | 6 | 7 | 7 | 13 |
| **Local staff** | | | | | | | | | | | | | | |
| Planned | 146 | 146 | 146 | 146 | 146 | 155 | 155 | 155 | 176 | 176 | 176 | 176 | 176 | 176 |
| Actual | 128 | 128 | 134 | 138 | 147 | 147 | 154 | 152 | 151 | 149 | 148 | 149 | 148 | 147 |
| Difference | 18 | 18 | 12 | 8 | -1 | 8 | 1 | 3 | 25 | 27 | 28 | 27 | 28 | 29 |

## B. Graphs of planned and actual deployment of civilian and military personnel for the period from 1 November 1994 to 31 December 1995




International staff
75
50
25
0
30 Nov
31 Dec
31 Jan
28 Feb
31 March
30 April
31 May
30 June
31 July
31 Aug
30 Sep
31 Oct
30 Nov
31 Dec
Planned
Actual


Local staff
160
120
80
40
0
30 Nov
31 Dec
31 Jan
28 Feb
31 March
30 April
31 May
30 June
31 July
31 Aug
30 Sep
31 Oct
30 Nov
31 Dec
Planned
Actual

# Annex IV
# Authorized staffing, incumbency and vacancy rate for the period from 1 November 1994 to 31 December 1995

| | | Actual staff on board | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *Authorized staffing* | *30 Nov* | *31 Dec* | *31 Jan* | *28 Feb* | *31 Mar* | *30 Apr* | *31 May* | *30 Jun* | *31 Jul* | *31 Aug* | *30 Sep* | *31 Oct* | *30 Nov* | *31 Dec* | |
| | | *1994* | | *1995* | | | | | | | | | | | | *Average* |
| **Military personnel** | | | | | | | | | | | | | | | | |
| *Military observers* | 255[a] | 244 | 244 | 244 | 244 | 242 | 242 | 242 | 243 | 243 | 247 | 243 | 244 | 245 | 246 | 244 |
| Vacancy rate (percentage) | | 4 | 4 | 4 | 4 | 5 | 5 | 5 | 5 | 5 | 3 | 5 | 4 | 4 | 4 | 4 |
| *Military contingents* | 910 | 895 | 897 | 897 | 868 | 868 | 859 | 860 | 859 | 859 | 859 | 859 | 888 | 899 | 899 | 876 |
| Vacancy rate (percentage) | | 2 | 1 | 1 | 5 | 5 | 6 | 5 | 6 | 6 | 6 | 6 | 2 | 1 | 1 | 4 |
| **Total, military personnel** | | 1 139 | 1 141 | 1 141 | 1 112 | 1 110 | 1 101 | 1 102 | 1 102 | 1 102 | 1 106 | 1 102 | 1 132 | 1 144 | 1 145 | 1 120 |
| **Vacancy rate (percentage)** | | 2 | 1 | 1 | 5 | 5 | 6 | 5 | 6 | 6 | 6 | 6 | 2 | 1 | 1 | 4 |
| **Civilian component** | | | | | | | | | | | | | | | | |
| *International staff* | | | | | | | | | | | | | | | | |
| Assistant Secretary-General | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| D-2 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| D-1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |
| P-5 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |
| P-4 | 6 | 3 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| P-3 | 2 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 1 | 2 | 2 | 2 |
| P-2 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Subtotal | 13 | 9 | 8 | 9 | 10 | 10 | 11 | 11 | 11 | 11 | 11 | 11 | 10 | 11 | 11 | 10 |
| Vacancy rate (percentage) | | 31 | 38 | 31 | 23 | 23 | 15 | 15 | 15 | 15 | 15 | 15 | 23 | 15 | 15 | 21 |
| Field Service (Level 7) | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 3 | 4 |
| Field Service (Levels 6/1) | 45 | 47 | 43 | 43 | 45 | 46 | 45 | 45 | 45 | 45 | 47 | 44 | 44 | 43 | 38 | 44 |
| General Service (Principal level) | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |
| General Service (Other level) | 19 | 20 | 20 | 19 | 20 | 18 | 17 | 16 | 16 | 17 | 16 | 16 | 16 | 16 | 16 | 17 |
| Subtotal | 70 | 73 | 69 | 68 | 71 | 70 | 68 | 67 | 67 | 68 | 69 | 66 | 66 | 65 | 59 | 68 |
| Vacancy rate (percentage) | | ( 4) | 1 | 3 | ( 1) | - | 3 | 4 | 4 | 3 | 1 | 6 | 6 | 7 | 16 | 3 |
| **Total, international staff** | 83 | 82 | 77 | 77 | 81 | 80 | 79 | 78 | 78 | 79 | 80 | 77 | 76 | 76 | 70 | 78 |
| **Vacancy rate (percentage)** | | 1 | 7 | 7 | 2 | 4 | 5 | 6 | 6 | 5 | 4 | 7 | 8 | 8 | 16 | 6 |
| *Local staff* | 176 | 128 | 128 | 134 | 138 | 147 | 147 | 154 | 152 | 151 | 149 | 148 | 149 | 148 | 147 | 144 |
| Vacancy rate (percentage) | | 27 | 27 | 24 | 22 | 16 | 16 | 13 | 14 | 14 | 15 | 16 | 15 | 16 | 16 | 18 |
| **Total, civilian personnel** | 259 | 210 | 205 | 211 | 219 | 227 | 226 | 232 | 230 | 230 | 229 | 225 | 225 | 224 | 217 | 222 |
| **Vacancy rate (percentage)** | | 19 | 21 | 19 | 15 | 12 | 13 | 10 | 11 | 11 | 12 | 13 | 13 | 14 | 16 | 14 |

[a] Budgeted.